Carbothermic Reduction of Bauxite Residue for Iron Recovery and Subsequent Aluminium Recovery from Slag Leaching

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Abstract

Industrially and historically, alternative processes were developed to recover alumina from low-grade ores of non-bauxitic origin as well as for economic reasons. The Pedersen process from Norway was adapted towards high ferruginous and high silica containing bauxitic ores. This paper considers the adaptation of the Pedersen pyro-hydrometallurgical route of reductive smelting with lime and coke to recover iron and aluminium from bauxite residue (BR), which is the by-product of the Bayer process where aluminium is typically lost in complex desilication product matrices. Greek BR was firstly smelted in an Electric Arc Furnace (EAF) to recover pig iron (>95 %). The fluxing strategy entailed feeding lime to favour lower operating temperatures (1500–1550 °C) and slag viscosities, and to produce a slag containing calcium aluminate phases (12CaO·7Al₂O₃, 3CaO·Al₂O₃ and CaO·Al₂O₃). The calcium aluminates were identified as thermodynamically favourable in descending order to form NaAlO₂ when leached in Na₂CO₃ solution (ΔG_rxn²⁹⁸K= -782, -188 and -68 kJ/mol, respectively). Leaching temperature, Na₂CO₃ concentrations, residence time and different particle sizes were varied to investigate the leachability of slags for aluminium recovery; up to 43 % aluminium was recovered with 95 % of silicon content remaining in leached residue. SEM studies also aided understanding of interstitial leaching mechanism.

Keywords: Bauxite residue, electric arc furnace, pig iron recovery, calcium aluminate, aluminium recovery.

1. Introduction

The recovery of aluminium became a favourable production option largely because of the discovery of bauxitic ore that contained high aluminium-bearing components such as gibbsite (γ-Al(OH)₃), boehmite (γ-AlO(OH)) and diasporite (α-AlO(OH)). Hydrometallurgical Bayer processing has invariably outcompeted pyrometallurgical routes because of its economic viability in extracting alumina from high and several medium grade ores. However, the scarcity of bauxitic ores during and right after World War II became a crux of research initiatives that was driven to investigate alternative viable methods of production using lower-grade ores [1, 2]. One such method was the practice of a pyrometallurgical-based Pedersen process in Norway. The original Pedersen process focused on the smelting of bauxite, iron ore, lime and coke to recover low-silica calcium aluminate slag and metallic iron, followed with leaching of slag with sodium carbonate solution to achieve the formation and dissolution of sodium aluminate [2].
The plant in Høyanger, Norway (1928-1969) had an annual production of around 17,000 metric tons [3]. Hignett et al [2] reiterated the process in 1942 using clay as a raw aluminium source instead, in both laboratory scale and pilot plant level. The process was also adapted by Blake et al [3] during 1966 towards high-silica and titania bauxites of Pacific Northwest (U.S.A). Fly ashes were also proposed to be adapted towards the lime-sinter process [4]. In the past literature, it is important to note that lime-sinter process and smelting process are often used interchangeably as the formation of desirable calcium aluminates operate close to its eutectic point.

Miller and Irgens [1] sourced the bauxite from Greece, containing a high percentage of boehmite (58.5 wt% Al$_2$O$_3$). After the addition of limestone and coke, the molten slag was smelted continuously at 1500 °C, where it was tapped every 6 hours for pig iron and calcium aluminate slag. The slag was then cooled for four hours before crushing and leaching. 5 metric tons of slag added to 200 m$^3$ of lye (15 gpl total alkaline). The first leaching step was completed after 5 ½ hours at 40 °C until the lye reaches 13-14 gpl Al$_2$O. The mixture was then emptied into a clarifier, where underflow was treated in the second leaching step. Saturated liquor was next sent to the precipitation section.

Using clay and limestone as the raw materials, Hignett et al [2] achieved about 90 to 95 % of “soluble” alumina content from total alumina in slag (operational temperature range: 1360-1400 °C). Laboratory-scale results showed maximum extractions was achieved in the temperature range of 45 °C to 65 °C, residence time of 15 to 30 min, and > 1.6 moles Na$_2$CO$_3$ per mole of alumina (20 g sinters/200 mL of 3 % sodium carbonate). Higher silica (Si) content of the raw material was found to influence co-leaching of Si element into leachate.

The use of ferruginous bauxites by Blake et al [3] was divided into two samples in the research investigation, high silica and low silica bauxites (13.5 and 4.5 wt% SiO$_2$ respectively). Extra lime was added in the system to promote the formation of perovskite (CaTiO$_3$). Samples were then smelted at 1750 °C and the slag was left to cool slowly in the furnace overnight. Both sample types were tested in a range of leaching time and temperatures while keeping Na$_2$CO$_3$ concentration and solid-to-liquid ratio constant (15 g slag/ 250 mL liquor at 11 gpl Na$_2$CO$_3$ concentration). Alumina from high silica slag was best leached for 16 hours at 25 °C (70 % Al$_2$O$_3$ extraction), whereas low silica slag achieved maximum leachability (88 % Al$_2$O$_3$ extraction) at the same temperature in 8-10 hours.

Bauxite residue contains typically 13-25 % of alumina content from Bayer processing. Silica is a very important impurity that affects alumina product quality and causes chemical soda losses due to the formation of desilication products (DSP) such as Bayer cancinites and hydrogrossular phases [5]. DSP also builds up in scales in evaporators, retarding the heat transfer. Currently, bauxite residue estimates are ever increasing with 150 million tonnes produced per annum globally [6]. Current research initiatives are focused on exploring bauxite residue as a part of a zero-waste approach. Being the host of leftover products and complex matrices, enriched bauxite residue contains a considerable amount of value in the form of titanium containing compounds and rare earths.

The main incentive for smelting bauxite residue is to recover iron, however, iron recovery on its own is not sufficient to justify the smelting of bauxite residue since bauxite residue is an inferior source of iron compared to primary iron ores. Current researches on bauxite residue smelting is experiencing a shift towards complete valorisation of bauxite residue, such as Balomenos et al [7] that conducted semi-industrial scale tests on the recovery of pig iron and viscous slag using an Electric Arc Furnace operating at 1540 °C. The slag was subsequently transformed into mineral wool. Borra et al [8] recovered pig iron at 1500-1600 °C, with the aim to enrich leftover slag for acid leaching of rare earths downstream. In another work, Borra et al [9] also removed
about 66 % of aluminium (Al) from soda sintering and leaching, followed by smelting with 97 % of iron recovery, leaving the slag residue open to rare earths processing downstream.

Following the previous works towards bauxite residue, Kaußen and Friedrich [10] looked into the recovery of aluminium via three different methods; a) Bayer-type process, b) Bayer-type process with slag leaching, and c) soda sinter process. The term ‘Bayer-type’ is used to allude to high pressure-temperature leaching of bauxite residue done in stages, beginning with 150 min leaching of untreated bauxite residue at 270-280 °C (7 g material/30 ml), and then increasing solid-to-liquid ratio (140g material/850 mL) to either 170, 200 or 250 °C for 120 min. Aluminium was recovered up to 72 % with addition of calcium under Ca/Si ratio of 2.5, 120 min leaching and 200 gpl NaOH concentration. Method (b) used enriched slag after the removal of pig iron from smelting of bauxite residue with lignite coke and lime. The following Bayer-type procedure noticeably underperformed at 200 gpl NaOH concentrations (50 % Al recovery), and when pushed to 500 gpl NaOH and at temperatures to above 250 °C, aluminium recoveries are >90 % as the calcium aluminate and calcium aluminium silicate bonds are broken. Undissolved calcium aluminate (as 3CaO·Al2O3 and 4CaO·3Al2O3), gehlenite (2CaO·Al2O3·SiO2) and grossular products remained in the leached residue.

This paper will focus on mayenite (12CaO·7Al2O3), tricalcium aluminate (3CaO·Al2O3) and monocalcium aluminate (CaO·Al2O3) production during slag enrichment as iron is recovered to the metallic product. Low temperature Na2CO3 leaching is performed to recover Al.

1.1. Slag Conditioning

Reaction (1) and (2) indicate general reactions to form metallic iron and calcium aluminate products during the smelting process.

\[
\begin{align*}
\text{Fe}_2\text{O}_3 + 3\text{C} & = 2\text{Fe} + 3\text{CO} \\
x\text{CaO} + y\text{Al}_2\text{O}_3 & = x\text{CaO} \cdot y\text{Al}_2\text{O}_3
\end{align*}
\]

The reductant addition is chiefly dependent on Equation 1 with CO forming at high temperatures via Boudouard reaction. The reduction of iron is accompanied by the reduction of other oxides varying in strength from minor reduction of ignoble oxides such as SiO2 to near complete reduction of NiO. Conditions of smelted slag and pig iron in Table 1 are described in Section 2 (Materials and Methodology).

It is clear that slag conditioning to favour the formation of desired calcium aluminate species is crucial towards leaching and recovery of aluminium. Miller and Irgens [1] also stated that the suitable slag is formed in a fairly restricted area towards monocalcium aluminate. Under slow cooling conditions, the formation of the composite mayenite species is favoured. They also warned of the issue of this narrow range that forms an unwanted tertiary insoluble gehlenite product, which can be resolved by increasing lime content (Figure 1). With the presence of titanium and silica in bauxite residue, the additional lime content will also ensure titanium is tied as perovskite and silica as dicalcium silicate. Slow cooling will also help promote the phase change of dicalcium silicate from beta to its gamma form, producing a self-disintegrating slag as it expands during this second order phase transition [4].

Lu et al [11] and Wang et al [12] both described the affinity of MgO in the CaO-Al2O3-SiO2 slag if higher than 3 % by forming calcium aluminate magnesium silicate species that may necessitate Na2O addition. However, since the employed Greek bauxite residue contains less than 0.3 % of MgO, it was not needed. Table 1 shows chemical analysis of bauxite residue, enriched slag and pig iron via XRF. Bauxite residue of this research was obtained from Aluminium of Greece located in Boeotia, Greece.
Table 1. Chemical composition (by XRF) of AoG bauxite residue and of materials after smelting.

<table>
<thead>
<tr>
<th>Component</th>
<th>Na₂O (wt%)</th>
<th>Al₂O₃ (wt%)</th>
<th>CaO (wt%)</th>
<th>SiO₂ (wt%)</th>
<th>V₂O₅ (wt%)</th>
<th>TiO₂ (wt%)</th>
<th>Fe₂O₃ (wt%)</th>
<th>P₂O₅ (wt%)</th>
<th>LOI (wt%)</th>
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</thead>
<tbody>
<tr>
<td>AoG bauxite residue</td>
<td>1.97</td>
<td>25.28</td>
<td>8.49</td>
<td>6.23</td>
<td>0.19</td>
<td>5.02</td>
<td>44.28</td>
<td>0.13</td>
<td>9.53</td>
</tr>
<tr>
<td>Smelted Slag</td>
<td>0.54</td>
<td>31.5</td>
<td>53.9</td>
<td>8.87</td>
<td>0.08</td>
<td>6.19</td>
<td>0.64</td>
<td>0.04</td>
<td>-0.86</td>
</tr>
<tr>
<td>Pig Iron</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>C</td>
<td>5.1</td>
<td>0.1</td>
<td>0.2</td>
<td>0.3</td>
<td>93</td>
<td>0.2</td>
<td>0.006</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Figure 1. Phase diagram of CaO-Al₂O₃-SiO₂ [4, 13].

Chou and Burnet [4] proposed an overall mechanism based on a kinetic study of pure CaO-Al₂O₃-SiO₂ system with regards to the formation of calcium aluminates. From their studies, they noted that the mechanism of formation of calcium aluminates leading to mayenite’s eutectic point at 1455 °C. 12CaO·Al₂O₃ is the predicted primary product with CaO·Al₂O₃ becoming the first dominant phase as alumina particles are converted. 3CaO·Al₂O₃ exists as an intermediate phase as calcium ions continually diffuse into the primary particle. As CaO becomes totally consumed, 12CaO·Al₂O₃ appears again from 3CaO·Al₂O₃ and CaO·Al₂O₃ consumption.

1.2. Na₂CO₃ Leaching of Slag

Low temperature treatment was chosen as the preferred leaching method for this study as pure mayenite species is notably leached up to 92 % by Sun et al [14] in temperature of 75 °C,
leaching time of 30 min, solid-to-liquid ratio of 1:10 and leaching concentrations of 120 gpl Na₂CO₃ and 7 gpl free NaOH. The target leachable phases are given in equation (3) to (5). Unwanted side reaction occurs as equation (6).

\[
\begin{align*}
\text{CaO} \cdot \text{Al}_2\text{O}_3 + \text{Na}_2\text{CO}_3 & = \text{Na}_2\text{O} \cdot \text{Al}_2\text{O}_3 + \text{CaCO}_3 \quad (3) \\
3\text{CaO} \cdot \text{Al}_2\text{O}_3 + 3\text{Na}_2\text{CO}_3 & = 3\text{Na}_2\text{O} \cdot \text{Al}_2\text{O}_3 + 3\text{CaCO}_3 \quad (4) \\
12\text{CaO} \cdot 7\text{Al}_2\text{O}_3 + 12\text{Na}_2\text{CO}_3 + 5\text{H}_2\text{O} & = 7\text{Na}_2\text{O} \cdot \text{Al}_2\text{O}_3 + 12\text{CaCO}_3 + 10\text{NaOH} \quad (5) \\
2\text{CaO} \cdot \text{SiO}_2 + 2\text{Na}_2\text{CO}_3 + \text{H}_2\text{O} & = \text{Na}_2\text{O} \cdot \text{SiO}_2 + 2\text{CaCO}_3 + 2\text{NaOH} \quad (6)
\end{align*}
\]

From Factsage software [15] and HSC software [16], the thermodynamic heat and Gibbs energy of reaction is predicted in the given Table 2 below, assuming reaction of calcium aluminate with NaOH component formed from the complete dissolution of Na₂CO₃ species in water. Mayenite species in Factsage is assumed to be Ca₁₂Al₁₄F₂O₃₂ as the form of the mineral that is available in the database. Despite a larger amount of difference of the values for heat and gibbs energy of mayenite species between Factsage and HSC software, the Gibbs energy and spontaneity of reaction is at least 4 times higher than the subsequent tricalcium aluminate species, reinforcing that mayenite is a more suitable leachable species. Though Gibbs energy values are competitive for both CaO·2Al₂O₃ and CaO·6Al₂O₃ against 3CaO·Al₂O₃ species, the two former species are formed at a much higher smelting temperature of 1700 °C and above (Figure 1). The heat of reaction released is also proportionally higher for mayenite species, indicating mayenite species favouring forward reaction during leaching at low temperatures and hindered at higher temperatures.

<table>
<thead>
<tr>
<th>Species</th>
<th>Factsage™</th>
<th>HSC™</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>ΔG_rxn²⁹⁸K</td>
<td>ΔH_rxn²⁹⁸K</td>
</tr>
<tr>
<td>3CaO·Al₂O₃</td>
<td>-189.40</td>
<td>-213.04</td>
</tr>
<tr>
<td>CaO·Al₂O₃</td>
<td>-70.55</td>
<td>-76.45</td>
</tr>
<tr>
<td>CaO·2Al₂O₃</td>
<td>-101.06</td>
<td>-101.26</td>
</tr>
<tr>
<td>CaO·6Al₂O₃</td>
<td>-232.05</td>
<td>-198.16</td>
</tr>
<tr>
<td>12CaO·7Al₂O₃*</td>
<td>-682.62</td>
<td>-764.46</td>
</tr>
</tbody>
</table>

*Mayenite species in Factsage exists as Ca₁₂Al₁₄F₂O₃₂

2. Materials and Methodology

The bauxite residue received from AoG was dried at 105 °C for 24 hours to remove surface moisture. 1.5 kg of dried sample was mixed with 600 g lime (40 % addition, commercial purity 95 wt% CaO) as a fluxing agent, and lignite coke (87 % C) at 10 % addition. The prepared material was fed into a 100 KVA DC electric arc furnace operated at about 5 kW and smelted batch wise in a graphite crucible at 1500 – 1550 °C for an hour. The molten material was then tapped into a refractory lined mould where the material solidified into distinct slag and metal phases. The slag and metal were subsequently separated and weighed.

The slag was crushed and milled, and finally sieved to obtain slag particle size of between 90 μm and 125 μm. Chemical analysis of the different size fractions were also performed to ensure the sample was homogenous. Sample of particle size below 90 μm was retained to compare the influence of particle size during leaching. Table 3 shows the chemical analysis of the milled slag of different particle sizes, indicating homogeneity of the formed slag.
The Na₂CO₃ leaching of slag focused on low temperature treatment, varying leaching conditions as follows: temperatures (40, 60, 80, 90 °C), sodium carbonate concentrations (50, 100, 150, 300 gpl Na₂CO₃, anhydrous powder 99.5 %) and residence times (15 min, 30 min, 1 hour and 4 hours). Figure 2 shows the designed experimental set-up detailing the reactors used for the leaching experiments. Leaching experiments at 40 and 60 °C were carried out in reactor A, assuming negligible evaporative losses while leaching experiments at 80°C and 90°C were carried out in reactor B, to prevent evaporative losses at higher temperatures. Solid-to-liquid ratio was kept constant (25 g slag/ 250 mL of liquor). Both leaching reactors were designed to operate continuously for the duration of 4 hours, 6 mL sampling was done with a glass pipette, filtered and diluted for ICP-OES analysis.

2.1. Chemical and Mineralogical Analysis

The samples of slag and leach residues were analysed by XRF glass disc (model: Pananalytical Axios) with lithium tetraborate flux and XRD (model: BruckerD8 with Cu-Kα₁-radiation 1.5407 Å, 40 kV, 40 mA). Leachates were analysed using inductively coupled plasma optical emission spectrometry (ICP-OES, model: Spectro ICP-OES Spectro Flame D). SEM preparation of the slag and leached residue involved dry samples independently or embedded in epoxy resin, polished and cross-section coated with carbon. SEM images were performed on Jeol6380V Scanning Electron Microscope with energy-dispersive X-ray spectroscopy (SEM/EDS) under back-scattering mode and secondary electron image mode (Accelerating voltage: 15-20 kV, Filament heating: 80-82 μ, Low vacuum control: 30 Pa). Renishaw inVia Raman microscope used laser power of 532 nm. Pig iron bulk analysis was done using spark spectrometers and LECO for C and S analysis.
3. Results and Discussion

The low residual iron in slag as presented in Table 1 accompanied by good slag metal separation was in agreement with high iron recoveries reported at 95 % during the smelting process. The slag shows a low Na₂O content which was attributed to the reduction of this oxide in the highly basic slag and a subsequent evaporation of metallic Na (g). The combination of the highly reducing conditions in the furnace accompanied by high CaO content proved favourable for desulfurization. The mineralogy of produced slag was evaluated relative to the starting bauxite residue and of final leached residue for a combined Fe and Al recovery in Figure 3.

![XRD Figure](image-url)

**Figure 3. XRD of Bauxite Residue, Slag Phase from EAF smelting, and Leached Residue.**

Interpreting the XRD figure of the slag and leached residue was difficult as the characteristic peaks of calcium aluminate species are closely related to each other. As a guideline, 12CaO·7Al₂O₃ appears at 2 theta values of 33.4°, 18.14° and 36.7°, in the order of decreasing intensity. Followed by CaO·Al₂O₃ at 30.1°, 35.6° and 37.3° and finally, 3CaO·Al₂O₃ at 33.2°, 47.7° and 59.3°. It was clear from the initial XRD of the slag from 40 % CaO addition that the aluminium-bearing species from bauxite residue has been completely broken down and transformed into mayenite, minor gehlenite and tricalcium aluminate.

The presence of excess Ca in the form of calcite (6) was strongly detected after leaching which confirms forward reactions of equations (3-6). However, XRD transformation after leaching was shown not to dissolve mayenite species completely (Peak 1). The intensity of mayenite peak was roughly halved after leaching, and since leachate recoveries from Figure 5 (a-e) are around 40 %–50 % levels. and it is plausible that aluminium recovery stems from the partial leaching of mayenite species in the liquor. Perovskite main characteristic peak (XRD peaks 33.2°, 47.6° and 59.3° in decreasing intensity) coincides with mayenite and tricalcium aluminate peaks, making it difficult to identify the proportions of calcium aluminates present, though since Peak 1 is mainly attributed to mayenite, mayenite species leaching requires a more concentrated effort in ensuring the appropriate leachable crystalline phases are formed during cooling of slag.
Figure 4. Al (left axes) and Si (right axes) recoveries from leachate (ICP-OES) in wt%.

From Figure 4, the achieved leaching recoveries are less than 50 % in this current stage of research, though it was interesting to note that thermodynamic data (Table 3) agrees with experimental results where it was exothermic when in contact with Na₂CO₃ solution. This shows in the results where 40 °C leaching temperature reaches a higher amount of aluminium recovery, and that the exothermic nature of reaction was inhibited at higher leaching temperatures.

The differences of temperature choice are a lot more apparent in higher Na₂CO₃ concentration levels, where recoveries of Al are less than 20 % in the initial 15 min, 30 min and 60 min residence time for 300 gpl Na₂CO₃ (d). After 4 hours of leaching, aluminium leaching appears to reach saturation for 100 gpl Na₂CO₃ levels and above. Si levels are within 2-4 %, with 150 gpl (c) hitting lowest concentrations. At higher Na₂CO₃ concentrations (d), once again Si was
drawn out noticeably after 4 hours of leaching. This agrees well with past researches that spoke of the interaction of sodium silicate with sodium aluminate in liquor for longer residence time.

Figure 5. (a) Slag particle with major phases: calcium titanate (CT), calcium aluminium silicate (CAS), calcium aluminate (CA); (b) Topographical view of slag particle.

Figure 6. (a) Leached residue particle with SEM elemental mapping; (b) Topographical view and Raman confirmation of calcite.

Figure 5 above shows the SEM imaging of slag cross-section and topographical view. Calcium titanate is observed to be present in large amounts with dendritic structures forming after slag was cooled. The topographical surface of the slag was seen to be clear and smooth before leaching. SEM imaging (Figure 6, a) of the leached residue particle shows a deposition on the surface of the slag particle, and in Figure 6 (b), the topographical view and confirmation of the
Raman data indicate that calcite was deposited on the surface of the slag. SEM elemental mapping also indicates Na was found in higher levels at the surface of the slag. Again, in the Figure 4 (e) previously, it was noted that finer particle sizes of below 90 μm show slight improvement in Al recovery compared to particle sizes of 90 μm to 125 μm. All observations indicate that the leaching of the slag is surface driven. An insoluble layer of calcite build-up inhibits the dissolution of alumina from calcium aluminates in the core particle. We can also hypothesise that because of mayenite leaching that is surface driven on the slag particle, complete leaching of Al species is diffusion dependent that may require higher residence time in Na₂CO₃ solution at low temperatures.

4. **Conclusion**

The combined recovery of iron and aluminium from bauxite residue through the adaptation of the Pedersen process has been demonstrated successfully for the former and to be focus on improvements on the latter. The smelting of bauxite residue was undertaken at 1500 °C using lignite coke as reductant under lime fluxing, achieving high iron recoveries. The combination of highly reducing conditions during smelting and high CaO slag (54 wt% CaO) favoured desulfurization of the pig iron product. Furthermore, low sodium content was reported in the slag. The aluminium was mainly found in the mayenite and tricalcium aluminate minerals in the slag. It is recommended that the presence of these species is best confirmed through a combination effort of XRD, SEM Quantitative analysis and Raman spectroscopy.

Low aluminium recoveries were achieved for the slag leaching testwork. This is in contradiction with reported Al recoveries for calcium aluminate slags, as these slags are reported to be readily leachable under low temperature Na₂CO₃ leaching. Leaching of calcium aluminates are best done at low temperatures of below 40 °C as they are exothermic in nature. In terms of Na₂CO₃ concentration of low temperature leaching, the preferred concentrations lean towards 150 gpl Na₂CO₃ and below as higher concentration has the tendency to draw out silica into leachate. The cooling rate of slag influences the crystallization path and the formation of leachable calcium aluminate minerals and should be investigated in the future work. Leaching of calcium aluminate slag is surface driven and once again, the correct leachable crystallinity of mayenite mineral phase formation during cooling of smelting process is crucial to allow better aluminium recoveries.

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